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EMA			Ap	plicat	ion Number	10/666,209	)		
			Fil	ing Da	ate	September	r 17, 2	2003	
TRANSMITTAL FORM  (to be used for all correspondence during pendency of filed application)		First Named Inventor			Peter B. Evans				
		Group Art Unit Number			2128				
			Ex	amine	er Name	Suzanne L	.0		
Total Number of Pag	es in This Submission	15	Att	orney	Docket Number	23990-082	25		
	ENCL	OSURES	(0	hecl	k all that apply	()			
Return Receipt Response to No Assignment & F Declaration Power of Attorn Application Dat Information Dis Copie Request for Co Request for Co Amendment/Re After Status Request	otice to File Missing Park Recordation Cover Sheet ey a Sheet closure Statement & PT es of IDS Cited Reference rrected Filing Receipt rrection of Recorded Assesponse: [12] Page(s) Final	o/SB/08A ces signment			Issue Fee Transr Letter to Chief Dr Formal Drawing(s	raftsperson s): c) of Figure(s) [ ication to Board ication to Group Brief, Reply Brief Priority Docum	o ef) ent(s) to Gro		- - -
REMARKS:			·		***************************************				
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Signature:	SIGNA		<u>^'</u>	<u> </u>	A				
Attornev/Reg. No.:	Albert C. Smith. Reg.	No. 20 355	<u>- '</u>		5mm/lh	Dated:	71-	106	$\dashv$
Attorney/reg. No.:								106	
first class mail in an env If the Express Mail Maili	correspondence, including to elope addressed to: Comming Number is filled in below the to Addressee" service pure	he enclosures ssioner for Pat , then this corre	ident ents,	ified at P.O. E ndence	Box 1450, Alexandria,	VA 22313-1450 d	on the d	ate shown belo	
Signature:		Q	(	<u>, , , , , , , , , , , , , , , , , , , </u>	Smith				
Typed or Printed Nar	me: Albert C. Smith					Dated:	7	7/06	
Express Mail Mailing	Number (optional):								

# TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known					
Application Number	10/666,209				
Filing Date	September 17, 2003 1				
First Named Inventor	Peter B. Evans				
Examiner Name	Suzanne Lo ´				
Art Unit	2128 '				
Attorney Docket No.	23990-08225 1				

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
Check Credit Card Deposit Account:	Money Order ☐ Other ☒ None	3. AD	DITION	AL FEE	s			
Deposit Account Number	19-2555	<u>Large E</u>	Entity	Small !	<u>Entity</u>	Fee Description	Fee Paid	
Deposit Account Name	Fenwick & West LLP	Fee	Fee	Fee Code	Fee (\$)			
Charge fee(s) indicated b Charge all required fee(s	prized to: (check all that apply)  celow Credit any overpayments  or any underpayment of fee(s) due	1051 1052 1053	(\$) 130 50 130	2051 2052 1053	65 25 130	Surcharge - late filing fee or oath or declaration Surcharge - late provisional filing fee or cover sheet Non-English specification		
under 37 CFR §1.16 or § application	1.17 during the pendency of this	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
_ ''	pelow, except for the filing fee to posit account.	1804 1805	920* 1,840*	1804 1805	920* 1,840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action		
FEE CA	ALCULATION	1251	120	2251	60	Extension for reply within first month		
1. BASIC FILING FEE Large Entity Small Entity		1252 1253	450 1020 1,590	2252 2253 2254	225 510 795	Extension for reply within second month Extension for reply within third month		
Fee Fee Fee Fee Code (\$) Code (\$)	Fee Description Fee Paid	1254 1255 1401	2,160 500	225 <del>4</del> 2255 2401	1,080 250	Extension for reply within fourth month  Extension for reply within fifth month  Notice of Appeal		
		1402	500	2402	250	Filing a brief in support of an appeal		
		1403	1000	2403	500	Request for oral hearing		
		1451	1,510		1,510	Petition to institute a public use proceeding		
   SUBTOT	ral (1) (\$) 0	1452	500	2452	250	Petition to revive - unavoidable		
- 15 W		1453	1,500	2453	750	Petition to revive - unintentional		
	FOR UTILITY AND REISSUE	1501	1,400 800	2501 2502	700 400	Utility issue fee (or reissue)  Design issue fee		
	Delow	1502 1503	1100	2502	550	Plant issue fee		
Independent 7 73** 7	0 × 25 = 0 0 × 100 = 0	1460	1100	1460	550	Petitions to the Director		
Claims // // // // Multiple Dependent		1807	50	1807	50	Processing fee for Provisional Applications		
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	<u> </u>	
F F F	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))		
1201 200 2201 100	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))		
1203 360 2203 180	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)		
1204 200 2204 100	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application		
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other fo	ee (specif	y)				
SUBTOT	a Davida	- d b Do-'	- <b>-</b> ::	<b>D</b> -id	SUBTOTAL (3) (\$) 0			
"or number previously paid, if g	greater, For Reissues, see above	Keauc	ed by Basi	riling F	ee Pald			

SUBMITTED BY				Complete (if applicable)
Name (Print/Type)	Albert C. Smith	Registration No. (Attorney/Agent) 20,355		Telephone (650) 335-7296
Signature		Q.C. Smith	Date	7/7/06



### IN THE UNITED STATES

#### PATENT AND TRADEMARK OFFICE

APPLICANTS:

Peter B. Evans and Steven E. Schumer

**APPLICATION NO.:** 

10/666,209

FILING DATE:

September 17, 2003

TITLE:

Assessing Distributed Energy Resouces For The

Energynet

**EXAMINER:** 

Suzanne Lo

**GROUP ART UNIT:** 

2128

ATTY. DKT. NO.:

23990-08225

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

7/7/06

By: \_

Albert C. Smith, Reg. No.: 20,355

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## **AMENDMENT**

Sir:

The following Remarks are responsive to the Official Action dated April 17, 2006 received in the patent application identified above.